# Remedial Action Plan

**LPIS QA Workshop**

**Varese**

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**Jerome Walsh**

**Unit D3**

**DG AGRI**

Agriculture and Rural Development



## DISCLAIMER

This presentation has been prepared to facilitate discussions at the LPIS QA workshop. It does not represent the official position of the Commission and has no interpretative value.

## LPIS QA Remedial Action

**Commission Delegated Regulation (EU) No. 640/2014**

**Article 6 (1)**

- Where the results of the quality assessment reveal deficiencies in the system, the MS shall take appropriate remedial action

**Article 6 (3)**

- Where appropriate, the remedial action plan and the timetable for their implementation shall be sent to the Commission

**Deadline**

- 31st January following the calendar year in question

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## Remedial Action Plan (RAPs)

| | 2016 | 2017 | 2018* |
|---|---|---|---|
| Total RAPs received | 18 | 19 | 16 |
| RAPs received but not required | 2 | 4 | 1 |
| Missing RAPs | 4 | 3 | 1? |
| Total net RAPs required | 20 (45%) | 18 (41%) | 16 (36%?) |

* Provisional data for 2018 ETS exercise (5 ETS missing; RAPs??)

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## RAP observations

**General observations:**

- Some very detailed & well-planned
- Some lacking detail/difficult to follow
- Limited "additional" actions in some cases

**Deficiencies**

- Absences of timelines
- Lack of linkage to specific QEs
- Lack of linkage to previous/on-going actions
- Not all non-conforming QEs addressed



## RAP template

**ETS Remedial Action Plan. MS:** _____ **LPIS:** _____ **ETS Year**: _____

**Part A** Give a detailed description of: (i) The ETS analysis, (ii) LPIS weaknesses, (iii) planned remedial actions, (iv) specify the risk of not completing the planned actions. Where applicable specify: (iv) any additional resources required and (v) provide a review of the actions related to previous remedial action plan.

**Part B** Summary table of ETS Remedial actions[1]

| | **Specific target** LPIS weakness addressed and related non-conforming element(s) | **Remedial action (s)** Give details & indicate scope (if the action will be completed in different steps (eg. phased by region / over a time period). | **LPIS - Expected results and timeline** (in term of general LPIS improvement) If an action was already on-going please give information on previous progress. | **QE- Expected impact** (results/figures and timeline) on the related quality elements. | **Planned start date** | **Target completion date(s)**[2] (& interim milestones) |
|---|---|---|---|---|---|---|
| 1. | | | | | | |

## RAP Template Part A

**Detailed description:**

i. The ETS analysis
ii. LPIS weaknesses
iii. Planned remedial actions **i.e. additional to annual tasks**
iv. Specify the risk of not completing the planned actions

Where applicable:

v. Any additional resources required
vi. Provide a review of the actions related to previous remedial action plan.

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## RAP Template Part B

**Summary table of ETS Remedial actions**

i. Specific target LPIS weakness addressed and related non-conforming element(s)
ii. Remedial action (s) **nb. over and above "normal" annual tasks**
iii. Give details & indicate scope (if the action will be completed in different steps (eg. phased by region / over a time period)
iv. LPIS - Expected results and timeline
v. QE- Expected impact (results/figures and timeline) on the related quality elements
vi. Planned start date
vii. Target completion date (incl. interim milestones)

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## Remedial Action Plan - Role

**Key purposes**

- Fixing weaknesses identified
- Ensure each non-conformity is addressed
- Tool for managing LPIS improvement
- Management tool
  - senior management buy-in
  - resource allocation etc.???

➢ Mitigating audit risks associated with area payments and possible financial corrections



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**Follow-up??**

**How well is your RAP implemented?**

# Overview of AGRI presentations

## Optimising the ETS/RAP process

- ✓ Quality control – maintaining/improving standards
- ✓ Management tool - resource planning
- ✓ Implement and review impact of actions – trend analysis
- ✓ Invest in your LPIS, and ……. think of a future without the need for RAPs !!!
- ✓ Ultimately, we all want well-functioning systems with low audit risks


**Thanks for your attention**

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